Questions asked:

1.   Is e-mail an acceptable form of writing?
       a. Yes.
2.   Who is on the review panel?
       a. Not yet determined.
3.   Are you going to recommend only one vendor?
       a. Depends on responses. One vendor will be prime.
4.   Will this be the first system to be certified?
       a. Unknown.
5.   Could there be same activities in other counties that will need to be certified with the same regulations?
       a. Yes.
6.   Will the Attorney General certify the system or the system & requirements?
       a. The Ag's office has final say as to whether or not a system is certified.
7.   Once the Attorney General certifies the delivery, will the contractor get paid?
       a. The system is considered delivered once certified by the AG. This will trigger the final payment.
8.   Will there be any provisions with Attorney General to help vendors with the proposal?
       a. Not at this time.
9.   When the system is up and running and another county wants in, do they need to be certified?
       a. Yes. There is a process whereby counties can utilize this system.
10.   Is there any cost to the vendor to pay for the certification?
       a. Not from the county. Any system certification costs will be handled by the Authority.
11.   Is there a specific fee for the Security Auditor?
       a. Not from the county. Security Auditor charges will be handled by the Authority.
12.   Is there an Auditor checklist?
       a. There are technical and security requirements documents published by the AG. I do not know of a checklist for auditors.
13.   Does each Auditor, individually, determine what to audit?
       a. The system will be certified based on the technical and security requirements documents as published by the AG.
14.   Is the hardware the Authority's responsibility?
       a. Yes. The hardware will be sized with assistance from the vendor but will be procured by the Authority.
15.   Is the site hardware the Authority's responsibility?
       a. Yes. See above.
16.   Is the development environment the Authority's responsibility?

       a. It is expected that the development environment will be handled by the vendor.

17. Regarding training, which training are you referring to, how to operate the system or how to fix the system?
       a. Training refers to that needed to fully operate the system to include troubleshooting. Changes to the source code or core functionality is not included in this scope,

18. Once the system is certified, and "bugs" are found, is there an agreement to fix the "bugs"?
       a. The vendor is to provide a warranty period of 12 months from delivery to handle issues such as these.

19. Is it required for a member of the vendor team to be physically on site with your staff for training?
       a. This is preferred. If the vendor anticipates another approach, please detail in proposal.

20. Does the Attorney General still require certified requirements for recertification?
       a. The AG will require recertification of the system after any substantive change. Please review the AG documentation for specifics.

21. Does someone have to be physically present when the Security Auditor is at the site?
       a. Yes. This is required in order to answer questions and expedite audit.

22. In the end, is the ownership yours?
       a. The intention is for the Authority to take ownership of the product.

23. Will you be responsible for the system?
       a. Yes. Management of the system will be Authority responsibility.

24. This is the Consortium not San Bernardino County? (referring to questions 21 & 22)
       a. Yes. The Authority will be the ERDS provider with the County as a client.

25. What is the project timeline?
       a. We want to be test recording in July.

26. Does the system have to be certified for testing?
       a. No. San Bernardino county is able to utilize the new system prior to certification.

27. How is the project going to be funded during this initial phase?
       a. There is funding in place to procure the system. This funding includes advance capital along with revenue generated on a per instrument fee charged by participating counties.

28. Regarding scope $1.00 fee—is there a desire to have this type of payment structure in the portal?
       a. Portal does not handle payment directly. Payment information will be included in the payload and handled between the county and submitter.

29. Is there a desire to go outside of California, for ownership standpoint, allowing contractors (vendors) to sell to other States?
       a. There is no plan currently in place to expand this system outside of California.

30. What does the contractor end up owning?
    a. The specific question as to intellectual property rights will be handled in contract negotiations.
31. What do you own?
    a. The Authority will own the system. See above.
32. Is this a non-exclusive operation?
    a. Each county is free to develop their own ERDS.
33. Can contractors/vendors go to other states to sell this?
    a. Will be based on contract negotiations.
34. Have you determined the hold back on invoices?
    a. Not at this time. There will be a substantial holdback payable upon system delivery.
35. This RFP, from A to B to delivery system, what happens if a title company comes in that is not a part of this RFP system?
    a. They would need to find some way to deliver their documents to the county within the AG guidelines.
36. Regarding Experience Pg 21 of RFP, is it $5 million per project?
    a. This has been struck.
37. Regarding Vision, will this system be able to take Level 3 and type 2 documents?
    a. The system will handle all "levels". We will design an XML schema that requires the inclusion of a completely rendered image.
38. Will this system be able to take data stream with no tiff image?
    a. See above.
39. In a coded tiff?
    a. Yes. The image will need to be encoded to be included in the XML payload.
40. Will the portal have any technical requirement or responsibility for submitting a paper document as to its originality?
    a. No. This differentiation (digital vs. digitized) is handled at the submitter.
41. When you receive a level 3+, are you going to record the image?
    a. This will be up to each county recorder but the capability will exist to utilize the image as opposed to rendering an image for recordation.
42. If that is the case, DOJ states you can not mix and match levels, does this become digitized vs. digital?
    a. You cannot mix type 1 and type 2 documents as defined by the law and AG.
43. Is this going to be scanned documents vs. paper submission?
    a. Yes. This system will handle scanned documents.
44. How will CeRTNA figure out how to get prior records to comply with requirements?
    a. The system is to have a complete audit trail in order to comply with requirements.
45. What is the technology for proprietary system?
    a. Varies according to the county/vendor providing the submission and/or back-end system.

46. Do you have a preference, Java, Dot net, etc….?
    a. Please bid your particular expertise. The Authority prefers .net.
47. What is driving the requirement for the system to send back a document with the title page stamped in the current system, not necessarily with this portal?
    a. The ability to send back an image of the recorded document provides the submitter proof of recordation and a way to send that back to the parties to recordation as applicable.
48. When the submitter is sending back the document stamped, are they going to replace the old document with the new or will it be added as another page?
    a. To date, this image has been used as a cover page on the original paper.
49. If you do not like the exceptions will you reject the proposal?
    a. No. Exemptions to the RFP requirements need to be spelled out with the proposal in order to be asserted during contract negotiations.
50. For counties that cannot return documents, will the county the document be returned manually?
    a. This will be up to the county. Return is an optional feature of the system.
51. Can you provide a copy of the proposal in Word format?
    a. Yes. Posted.
52. Would you please expand on what is meant by the Non-Functional Requirements in Section 11? For example, you ask us to provide a response to "4.1 Availability Requirements". What do you mean by that term? Are these requirements of the system, of the staff, or of something else?   Are you asking for our requirements or are you saying you have additional requirements in the area of Availability? If these are your requirements, where are they delineated? Likewise, can you explain what you mean by "4.4 Integrity," "4.5 Interoperability," "4.6 Reliability," "4.7 Robustness," "4.8 Usability," "4.11 Reusability" and what requirements you have in these areas that you're asking us to address?
    a. These are requirements of the system. You should address these in a way to indicate how you, as the contractor, are dealing with these areas in your design.
53. Can we have the option to share the docs/ images as file folder instead of Database to avoid performance issues?
    a. You may propose any solution that meets the technical/security standards.
54. What is the difference between the statuses "Accepted by County 'X' "and "Completed-Accepted". Similarly what is the difference between "Rejected by County 'X'" and "Completed-Rejected" statuses? (refer page 31 in the RFP Document)?
    a. This is simply an example of possible status messages returned by the system in order to track the progress of a payload.
55. In Workstation requirements (Page No 41), it is given that "The ActiveX control used for the interactive submitter could contain code to scan the workstation and report back on any inconsistencies found". Since this hasn't been specified in more detail we would like to (a) exclude this unspecified Active X control from the application or (b) Need more specification on this point to be able to include in our proposal.

a. Once again, this is an example of a way to enforce the AG standards for submitter workstations. You may propose a different methodology or none at all.

56. (a) It is mentioned that, the document return status is optional, so does it mean that after transferring the document to the respective county, the status of a particular transaction is completed, if a county did not participate in the return process? Based on this, we assume the following. (b) That the document return process will be manually followed by the respective county (which is not participating in the return process) and the Status will be updated manually in the ERDS System.
    a. Yes. The return process is optional and dependent upon agreement between the submitter and county. Once the payload is successfully received by a non-return county, the process is complete.
    b. The return process may be a manual one if the county so chooses. Please indicate that assumption in your proposal.

57. (a) In Page No 42, Under Hardware and Software Recommendations, for County Return Server, it is given that 1 Server per County. Does it mean that one dedicated server should be deployed for each participating County in ERDS Network? Based on this we assume the following. (b) A Component handling the Acceptance and Return will be deployed on the dedicated server for every participating county. This component is responsible for receiving document sets from the county connector server (in the CeRTN network), passing it on the county back end system for processing, receive response from the county's processing system, package the return set (the XML and the first TIF image) to be sent to the county connecter server.
    a. Yes. There should be one server per county.
    b. The assumption needs to be stated in your proposal.

58. Detailed specification of Audit Trail required. How and what statistics to be reported. Specifications intended to be monitored for fraud.
    a. This will need to be developed in the detailed design process. However, it is safe to assume that we will want to capture every possible detail regarding the contents, transmission, and processing of the payload.

59. On Page No 32, under Document Return and Submitter Notification section, it is given that the system will notify the submitters on document status. List of Notifications events and mode of Notification required.
    a. This will need to be developed in detailed design. However, the vision anticipates a web service, a website, and e-mail as possible modes of notification and status updates.

60. Whether fees charged from the submitter to be added to the process? How is the charges billed? Do we have a module to handle the payment process?
    a. No fees to the submitter through ERDS is anticipated. The XML will need to carry fee information but the system will not handle billing.

61. Is there a defined time limit for each process in the system? i.e. from Submitted to Transaction Closure (completed / rejected).

a. This will need to be defined in detailed design. However, the process should be "real-time" as to the processing and submission to the county connector. The process beyond that is county controlled.

62. The scope of the document is only for the counties under the State of California. Is there a possibility of extending the same application to other states?

a. This system will be designed and certified per California law. Should another state adopt these same or lesser regulations, it is conceivable that this same system could be used outside the state.

63. Will we be responsible for acquiring, installing and configuring all the Hardware & VPN Connections?

a. It is anticipated that the vendor will assist Authority staff with initial configurations and those required until delivery of the system.

64. Will there be a dedicated secure connection (e.g. VPN) for each county?

a. There will be a secure connection for each county. How this is accomplished needs to be detailed and meet the technical and security requirements as detailed by the AG.

65. Should we address all authentication technology requirement mention for ERDS payload module or any one? OR Do we provide the provision for all the mention authentication technology on our application? In that case if you yes on first then should we address the hardware cost separately?

a. Please review the AG's technical and security requirements. It spells out exactly the encryption and authentication requirements needed. In the cases where there is a choice, please indicate the choices selected and price specific requirements to accomplish the choice.

66. The number of IIS web servers is mentioned as 4 in their hardware/software recommendation chart; in that case load balance needs to be address for distributing the session but there is no information provided on specification of load balance (Hardware appliance or Software)?

a. This vision is not intended to be the final design document. Please propose those items as required by your solution.

67. High availability (Backup / Disaster Recovery) is not mentioned anywhere in Software/Hardware recommendation chart. Since it is Tier IV datacenter can we take it up all are addressed or should we address?

a. Needs to be addressed. Specifically, in the non-functional requirements under availability.

68. What will be the Number of concurrent users from each county? Only based on this we can estimate the licensing cost.

a. This system will not be used by the county. This system ends when the payload is dropped off at the county connector and then, if used, returned back through the system.